



2161

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	09 / 941,432
	Filing Date	28-Aug-2001
	First Named Inventor	Wolfram Arnold
	Art Unit	2161
	Examiner Name	ETIENNE PIERRE LEROUX
Total Number of Pages in This Submission	Attorney Docket Number	EFIM0280

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1. PTO Form 1449 (2 copies) 2. Cited References 3. Return Postcard
<input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="text"/> Remarks	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	James Trosino		
Signature			
Printed name	James Trosino		
Date	06-Dec-2004	Reg. No.	39,862

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Signature			
Typed or printed name	Shannon Shrewsbury	Date	06-Dec-2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Attorney Docket Number EFIM0280

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Wolfram Arnold  
Serial No. : 09/941,432  
Filed : 28-Aug-2001  
For : RDO-TO-PDF CONVERSION TOOL  
:   
Group Art Unit : 2161  
Examiner : ETIENNE PIERRE LEROUX

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
BOX 1450  
Alexandria, VA 22313-1450

Sir:

Applicant submits this Information Disclosure Statement:

☒ under 37 CFR 1.97(b) (within three months of the filing date of a national application; within three months of the date of entry of the national stage in an international application; before the mailing of a first Office action on the merits; or before the mailing of a first Office action after the filing of a request for continued examination, whichever occurs last); or

☐ under 37 CFR 1.97(c) (after the CFR 1.97(b) time period, but before the mailing date of any of a final action, a notice of allowance, or an action that otherwise closes prosecution in the application) together with:

☐ the statement specified in 37 CFR §1.97(e); or

☐ the fee set forth in 37 CFR §1.17(p); or

☐ under 37 CFR 1.97(d) (after the CFR 1.97(c) time period, but before payment of the issue fee) together with:

☐ the statement specified in 37 CFR §1.97(e); and

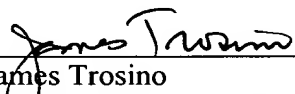
☐ the fee set forth in 37 CFR §1.17(p).

Applicant submits herewith PTO Form 1449 together with copies of patents, publications, applications, or other information listed therein. Applicant submits herewith a concise explanation of any patent, publication or other information listed in PTO Form 1449 that is not in the English language.

All of the references have been previously cited in parent application Serial No. \_\_\_\_\_. Accordingly, Applicant has not provided copies of these references as they are readily found in the file of the parent application.

Applicant respectfully requests that the information disclosed herein be made of record in this application.

Respectfully submitted,

  
\_\_\_\_\_  
James Trosino  
Registration No. 39,862  
Attorney for Applicant

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San Francisco, CA 94104  
Tel.: (650) 357-3997



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Substitute for form 1449/PTO

(Use as many sheets as necessary)

**Complete if Known**

Application Number	09 / 941,432
Filing Date	28-Aug-2001
First Named Inventor	Wolfram Arnold
Art Unit	2161
Examiner Name	ETIENNE PIERRE LEROUX
Attorney Docket Number	EFIM0280

Sheet	1	of	1
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## U. S. PATENT DOCUMENTS

[illegible]

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> *Number <sup>4</sup> *Kind Code <sup>5</sup> (if known)				
		EP 0 887 746 A2	12-30-1998	Benson		

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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